Funding Actions Taken at the February 10, 2021 Meeting of the State Water Infrastructure Authority on the Applications Submitted in September 2020 to the Division of Water Infrastructure

On September 30, 2021, the Division of Water Infrastructure received applications for funding for drinking water, wastewater, asset inventory and assessment, and merger / regionalization feasibility projects. The available sources of funding for the September 2020 applications are:

- 1. Drinking Water State Revolving Fund ASADRA (DWSRF ASADRA)¹
- 2. Drinking Water State Revolving Fund (DWSRF)¹
- 3. Clean Water State Revolving Fund ASADRA (CWSRF ASADRA)
- 4. Clean Water State Revolving Fund
- 5. Drinking Water State Reserve²
- 6. Wastewater State Reserve²

Table 1 shows the amount of funding considered in applications that were complete and eligible and the approximate amount of funding available. On February 10, 2021, the State Water Infrastructure Authority determined the eligibility of applications selected to receive funding from the available funding sources listed above.

	Table 1. September 2020 Application Summary						
Project Type	Source of Funds	No. of Complete, Eligible Applications Received ^a	Total Amount of Funding Requested ^b	Approximate Amount of Funding Available			
Drinking Water	DWSRF – ASADRA ¹	8	\$90,463,367	\$23.5M			
Projects	DWSRF	36 ^c	\$344,533,997	\$54M			
	State Reserve	С	\$544,555,99 <i>1</i>	e			
Wastewater	CWSRF – ASADRA ¹	58	\$374,434,951	\$21M			
Projects	CWSRF	72	¢40F 722 424	\$150M			
	State Reserve	С	\$495,733,424				
Asset Inventory & Assessment Grants (AIA)		110	\$15,795,500	d, e			
Merger/Regionalization Feasibility Grants (MRF)		10	\$500,000				

^aASADRA-eligible applications also eligible for regular SRF funds; cannot add together.

^cDrinking water and wastewater construction projects submitted were considered for both State Reserve and the SRF programs.

bTotal funds requested include only complete and eligible applications.

^dProjects funded with state funds for drinking water and wastewater projects, AIA grants, and MRF grants come from the State Reserve. \$10M of grant funds in the State Reserve may be used for MRF grants, AIA grants, drinking water projects, or wastewater projects. Up to \$1,120,000 of state drinking water grants may be used for drinking water construction projects. Up to \$629,000 of state wastewater grants may be used for wastewater construction projects. Up to \$22.1M of state wastewater loans may be used for wastewater construction projects.

¹ On June 6, 2019, the President signed P.L. 116-20, the "Additional Supplemental Appropriations for Disaster Relief Act, 2019: (ASADRA). The Act provided funding for resiliency-focused projects at drinking water facilities and wastewater treatment works impacted by Hurricanes Florence and Michael. NC Session Law 2019-250 appropriated the necessary State Match.

² State Reserve funds are utilized to fund construction projects, Asset Inventory and Assessment (AIA) grants, and Merger / Regionalization Feasibility (MRF) grants.

Table 2 shows a summary of the number of applications that were approved for funding and the amount of funding approved at the Authority's February 10, 2021 meeting.

Table 2. Summary of September 2020 Applications Approved for Funding on February 10, 2021					
Project Funding Program	No. of Applications Approved for Funding	Funded Amount			
DWSRF ASADRA	7	\$23,593,367			
DWSRF	6ª	\$54,718,967			
Drinking Water State Reserve	1ª	\$1,123,807			
CWSRF ASADRA	6	\$20,959,823			
CWSRF	16 ^b	\$150,284,307			
Wastewater State Reserve	19 ^b	\$27,837,674			
AIA	31	\$4,425,000			
MRF	10	\$500,000			
Total	94°	\$282,319,138			

^aOne project partially funded by the DWSRF and Drinking Water State Reserve and is not counted twice in the total number of projects.

Tables 3 through 10 list the projects, by funding source, determined by the Authority as eligible to receive funding.

	Table 3. Drinking Water State Revolving Fund (DWSRF) ASADRA Project Funding Approved on February 10, 2021						
Proj No.	Applicant Name	Project Name	Funding Amount - ASADRA Principal Forgiveness	Funding Amount – ASADRA Loan	Total Funding Amount		
1	Lumberton, City of	2020 Well Replacement	\$2,316,900	\$0	\$2,316,900		
3	Dunn, City of	Raw Water Electrical Relocation and Line Replacement	\$878,750	\$2,636,250	\$3,515,000		
4	Surf City, Town of	Water System Resiliency Projects	\$701,250	\$2,103,750	\$2,805,000		
5	Johnston County	2022 AC Water Main Replacement	\$0	\$5,242,800	\$5,242,800		

eState reserve funding available is utilized for state reserve construction projects, AIA grants, and MRF grants. State reserve loan availability is \$22M only for drinking water and wastewater construction projects. State Reserve grant availability is \$11.7M and may be used for drinking water and wastewater construction projects, AIA grants, and MRF grants.

^bOne project partially funded by the CWSRF and Wastewater State Reserve and are not counted twice in the total number of projects.

^cTotal number of applications accounts for joint funding as noted above.

	Table 3. Drinking Water State Revolving Fund (DWSRF) ASADRA Project Funding Approved on February 10, 2021					
Proj No.	Applicant Name	Project Name	Funding Amount - ASADRA Principal Forgiveness	Funding Amount – ASADRA Loan	Total Funding Amount	
6	Reidsville, City of	Redundant Water Supply Line	\$1,000,000	\$3,654,000	\$4,654,000	
7	Elm City, Town of	Water Filtration & Emergency Backup Generation	\$497,272	\$1,491,815	\$1,989,086	
8	Lincoln County	St. James Water Line	\$0	\$3,070,581	\$3,070,581	
	Total DWSR	F ASADRA Funding Approved:	\$5,394,172	\$18,199,195	\$23,593,367	

Table	Table 4. Drinking Water State Revolving Fund (DWSRF) Project Funding Approved on February 10, 2021					
Proj No.	Applicant Name	Project Name	Funding Amount – Principal Forgiveness	Funding Amount – Loan	Total Funding Amount	
1	Brunswick County	2020 Navassa Water Distribution System Rehabilitation	\$2,218,967	\$0	\$2,218,967	
2	Davie County Public Utilities	Water Supply Improvements Project	\$2,000,000	\$18,000,000	\$20,000,000	
4	Oxford, City of	Kerr Lake Regional Water Treatment Plant Upgrades	\$500,000	\$5,500,000	\$6,000,000	
6	Winston- Salem, City of	Neilson WTP Modernization	\$0	\$20,000,000	\$20,000,000	
8	Cleveland County Water	Flocculation and Sedimentation Improvements	\$0	\$2,750,000	\$2,750,000	
10	Rocky Point Topsail Water and Sewer District	RO WTP and Associated Improvements	\$0	\$3,750,000	\$3,750,000	
		Total DWSRF Funding Approved:	\$4,718,967	\$50,000,000	\$54,718,967	

^aNote: The City of Henderson (Kerr Lake Regional Water System) was approved by the Authority on February 10, 2021 to receive up to an additional \$11,107,000 if projects awarded DWSRF loans in either Spring or Fall 2020 funding rounds decline funds.

	Table 5. Drinking Water State Reserve Project (SRP) Funding Approved on February 10, 2021						
Proj No.	Applicant Name	Project Name	Funding Amount – Drinking Water State Reserve Grant	Funding Amount – Drinking Water State Reserve Loan	Total Funding Amount		
2	Davie County Public Utilities	Water Supply Improvements Project	\$1,123,807	\$0	\$1,123,807		
	Total Dri	nking Water SRP Funding Approved:	\$1,123,807	\$0	\$1,123,807		

	Table 6. Clean Water State Revolving Fund (CWSRF) ASADRA Project Funding Approved on February 10, 2021						
Proj No.	Applicant Name	Project Name	Funding Amount - ASADRA Principal Forgiveness	Funding Amount – ASADRA Loan	Total Funding Amount		
1	Clinton, City of	WWTP Resiliency Improvements	\$3,000,000	\$0	\$3,000,000		
2	St. Pauls, Town of	WWTP Relocation	\$2,700,000	\$2,893,450	\$5,593,450		
16	Southern Pines, Town of	Warrior Woods PS Relocation	\$0	\$2,998,000	\$2,998,000		
18	Sparta, Town of	Wastewater Interceptor Project	\$0	\$1,268,000	\$1,268,000		
29	Goldsboro, City of	Little Cherry Big Cherry PS Relocation	\$0	\$3,058,000	\$3,058,000		
32	Eden, City of	Junction PS Rehab & Smith River Replacement and Rehab and Siphon Replace.	\$0	\$5,042,373	\$5,042,373		
	Total CW	SRF ASADRA Funding Approved:	\$5,700,000	\$15,259,823	\$20,959,823		

	Table 7. Clean Water State Revolving Fund (CWSRF) Project Funding Approved on February 10, 2021						
Proj No.	Applicant Name	Project Name	Funding Amount – Green Project Reserve	Funding Amount – Principal Forgiveness	Funding Amount – Loan	Total Funding Amount	
5	Woodland, Town of	Sewer Replacement	\$0	\$500,000	\$419,150	\$919,150	
7	St. Pauls, Town of	WWTP Relocation	\$0	\$500,000	\$0	\$500,000	
10	Selma, Town of	Brack Wilson PS Relocation & System Improvements	\$0	\$500,000	\$2,515,000	\$3,015,000	
17	Murfreesboro, Town of	Carver Park LS Replace/Relocate	\$0	\$500,000	\$37,003	\$537,003	
19	Macclesfield, Town of	2020 WWTP Facilities Relocation	\$0	\$500,000	\$2,750,000	\$3,250,000	
20	Kinston, City of	Briery Run Sewer Phase V Sewer Project	\$0	\$500,000	\$2,405,630	\$2,905,630	
24	Albemarle, City of	Long Creek WWTP Rehab	\$0	\$500,000	\$15,953,200	\$16,453,200	
25	Sanford, City of	Little Buffalo Creek Rehab	\$0	\$500,000	\$3,345,000	\$3,845,000	
26	New Bern, City of	Duffyfield Stormwater Enhancement	\$855,000	\$0	\$0	\$855,000	
31	Eden, City of	Junction PS Rehab & Smith River Replacement and Rehab and Siphon Replace.	\$0	\$0	\$2,116,609	\$2,116,609	
46	Hendersonville, City of	Mud Creek Interceptor	\$0	\$0	\$8,627,000	\$8,627,000	
53	Cape Fear Public Utility Authority	Rehab & Replace. of Gravity Sewer	\$0	\$0	\$4,590,308	\$4,590,308	
58	Clayton, Town of	Neuse River WRF	\$0	\$0	\$30,000,000	\$30,000,000	
61	Hickory, City of	Henry Forks WWTF Solids Handling Upgrade	\$0	\$0	\$30,000,000	\$30,000,000	
63	Fayetteville PWC	Big Rockfish Creek SS Outfall	\$0	\$0	\$10,025,890	\$10,025,890	

	Table 7. Clean Water State Revolving Fund (CWSRF) Project Funding Approved on February 10, 2021						
Proj No.	Applicant Name	Project Name	Funding Amount – Green Project Reserve	Funding Amount – Principal Forgiveness	Funding Amount – Loan	Total Funding Amount	
66	Benson, Town of	2020 Wastewater System Improvements	\$0	\$0	\$3,533,000	\$3,533,000	
68	Wallace, Town of	Sewer Collection System Expansion	\$0	\$0	\$4,791,517	\$4,791,517	
70	Johnston County ^a	WWTF Expansion	\$0	\$0	\$24,320,000	\$24,320,000	
	Total CWSRF	Funding Approved:	\$855,000	\$4,000,000	\$145,429,307	\$150,284,307	

^aJohnston County will be eligible up to \$5,680,000 additional funds if projects awarded CWSRF loans in either Spring of Fall 2020 funding rounds decline funds.

	Table 8. Waster	water State Reserve Projects (SRI	P) Funded on F	ebruary 10, 202	21
Proj No.	Applicant Name	Project Name	Funding Amount – Wastewater State Reserve Grant	Funding Amount – Wastewater State Reserve Loan	Total Funding Amount
1	Brunswick County	2020 City of Navassa WW Collection System Rehab	\$2,852,818	\$0	\$2,852,818
2	Yadkin Valley Sewer Authority	High Priority Collection System Rehab Project	\$1,762,000	\$0	\$1,762,000
3	Stanly County	Richfield SS Improvement	\$911,400	\$303,800	\$1,215,200
5	Woodland, Town of	Peachtree/Chestnut Sewer Replacement	\$177,000	\$0	\$177,000
21	Spindale, Town of	Oak Street Pump Station Rehabilitation	\$0	\$2,000,000	\$2,000,000
30	Wallace, Town of	Wallace Gravity Sewer Rehab - Phase II	\$0	\$1,520,720	\$1,520,720
33	Taylorsville, Town of	2021 WWTP Improvements Project	\$0	\$781,500	\$781,500
34	Washington, City of	Pump Station Flood Protection & Sewer Rehab	\$0	\$2,500,000	\$2,500,000
36	Yadkin Valley Sewer Authority	2020 Collection System Rehab - Part II	\$0	\$310,500	\$310,500
37	Henderson, City of	Sandy Creek Basin Sewer Rehabilitation	\$0	\$2,542,553	\$2,542,553

	Table 8. Waster	water State Reserve Projects (SR	P) Funded on F	ebruary 10, 202	21
Proj No.	Applicant Name	Project Name	Funding Amount – Wastewater State Reserve Grant	Funding Amount – Wastewater State Reserve Loan	Total Funding Amount
42	Maysville, Town of	Wastewater Treatment Upgrades	\$0	\$242,928	\$242,928
57	Maggie Valley, Town of	WWTP Levee Repair	\$0	\$253,000	\$253,000
59	Taylorsville, Town of	2021 Collection System Improvements Project	\$0	\$400,000	\$400,000
60	Beech Mountain, Town of	2022 Sewer Main Improvement Project	\$0	\$2,870,000	\$2,870,000
62	Valdese, Town of	Cline Avenue Basin and Pump Station Upgrades	\$0	\$1,176,000	\$1,176,000
64	Lincoln County	Sewer Pump Station #15 Rehabilitation	\$0	\$803,662	\$803,662
65	Surf City, Town of	WWTP Resiliency Improvements	\$0	\$2,370,553	\$2,370,553
67	Asheboro, City of	Sanitary Sewer LS No. 3 Improvements	\$0	\$2,705,240	\$2,705,240
71	Trinity, City of	Wastewater Regionalization Project	\$0	\$1,354,000	\$1,354,000
_	Total Wastev	vater SRP Funding Approved:	\$5,703,218	\$22,134,456	\$27,837,674

Table 9. Asset Inventory and Assessment (AIA) Grant Projects Funded on February 10, 2021							
Proj. No.	Applicant Name	Project Name	Funding Amount	County			
1	Sharpsburg, Town of	Wastewater AIA	\$150,000	Nash			
2	Rockingham County	Water AIA	\$150,000	Rockingham			
3	Yadkin Valley SA	Wastewater AIA	\$150,000	Surry			
4	Kinston, City of	Wastewater AIA	\$150,000	Lenoir			
5	Archdale, City of	Wastewater AIA	\$135,000	Randolph / Guilford			
6	Saluda, City of	Water AIA	\$150,000	Polk			
7	Saluda, City of	Wastewater AIA	\$150,000	Polk			
8	Chocowinity, Town of	Water AIA	\$150,000	Beaufort			

Table 9. Asset Inventory and Assessment (AIA) Grant Projects Funded on February 10, 2021						
Proj. No.	Applicant Name	Project Name	Funding Amount	County		
9	Selma, Town of	Wastewater AIA	\$150,000	Johnston		
10	Sharpsburg, Town of	Water AIA	\$150,000	Nash		
11	Maysville, Town of	Water AIA	\$150,000	Jones		
12	Liberty, Town of	Wastewater AIA	\$150,000	Randolph		
13	Roseboro, Town of	Water AIA	\$150,000	Sampson		
14	Red Springs, Town of	Water AIA	\$150,000	Robeson		
15	Troutman, Town of	Wastewater AIA	\$150,000	Iredell		
16	Kenly, Town of	Wastewater AIA	\$150,000	Johnston / Wilson		
17	Mayodan, Town of	Wastewater AIA	\$140,000	Rockingham		
18	Nash County	Wastewater AIA	\$150,000	Nash		
20	Nash County	Water AIA	\$150,000	Nash		
21	Smithfield, Town of	Wastewater AIA	\$150,000	Johnston		
22	Spindale, Town of	Wastewater AIA	\$150,000	Rutherford		
23	Hot Springs, Town of	Wastewater AIA	\$150,000	Madison		
24	Bryson City, Town of	Wastewater AIA	\$55,000	Swain		
25	Bryson City, Town of	Water AIA	\$65,000	Swain		
26	Scotland Neck, Town of	Water AIA	\$150,000	Halifax		
27	Kenly, Town of	Water AIA	\$150,000	Johnston / Wilson		
28	Halifax County	Wastewater AIA	\$150,000	Halifax		
29	Fairmont, Town of	Water AIA	\$150,000	Robeson		
30	Sparta, Town of	Wastewater AIA	\$150,000	Alleghany		
31	Sparta, Town of	Water AIA	\$130,000	Alleghany		
32	Lumberton, City of	Water AIA	\$150,000	Robeson		

Table 10. Merger / Regionalization Feasibility (MRF) Grant Funding Approved on February 10, 2021						
Proj. No.	Applicant Name	Project Name	Funding Amount	County		
1	McAdenville, Town of	McAdenville and Gastonia/Two Rivers Utilities Sewer MRF	\$50,000	Gaston		
2	St. Pauls, Town of	St. Pauls, Parkton, Robeson Co. Wastewater MRF	\$50,000	Robeson		
3	White Lake, Town of	White Lake/Elizabethtown Wastewater Treatment MRF	\$50,000	Bladen		
4	Broadway, Town of	Broadway and Sanford Regional Water MRF	\$50,000	Lee		
5	McAdenville, Town of	McAdenville and Gastonia/Two Rivers Utilities Water MRF	\$50,000	Gaston		
6	Broadway, Town of	Broadway and Sanford Regional Wastewater MRF	\$50,000	Lee		
7	Benson, Town of	Benson and Dunn Wastewater MRF	\$50,000	Johnston		
8	Dunn, City of	Dunn, Benson, Eastover SD Water MRF	\$50,000	Harnett		
9	Murphy, Town of	Andrews and Murphy Regional Wastewater Sludge MRF	\$50,000	Cherokee		
10	Rose Hill, Town of	Regional Wastewater Alternatives MRF	\$50,000	Duplin		

Total MRF Grant Funding Approved:

\$500,000